

**FTSE/JSE AFRICA  
INDEX SERIES  
USER APPLICATION FORM**  
**(To be completed by all Users)**

**Comment [JSE1]:** The "User" as referred to in this document is the contracting party who may be subscribing to the data for internal use or to distribute to external end users or both.



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**NOTE:** In the event of any conflict between the provisions of this User Application Form ("UAF") and the FTSE/JSE Africa Index Series Distribution Agreement ("FIDA"), the provisions of the FIDA will prevail.

Unless expressly stated or otherwise indicated by the context, words and phrases used in this UAF shall bear the same meaning as the words and phrases defined in FIDA.

In the event that there is insufficient space to insert the relevant information required in the body of this UAF, please provide such additional information on separate pages, clearly indicating the section and number in respect of which the information is supplied.

In the event that the user has provided any information referred to in this UAF to the JSE in writing in the user application form applicable to the JSE Information Distribution Agreement entered into between the JSE and the User in respect of equities information ("JIDA UAF") then, to the extent that such JIDA UAF information is correct and applicable to any information requested in this UAF, the User may (at the appropriate place on this UAF) expressly refer to the JIDA UAF whereupon the relevant information in the JIDA UAF shall be deemed to be incorporated by reference into this UAF.

**SECTION A**

**1.1 USER DETAILS**

**GENERAL DETAILS**

Company / Close Corporation / Partnership / Other: Name \_\_\_\_\_

Trading As : \_\_\_\_\_

Registration No. : \_\_\_\_\_

Physical Address

Postal Address :



Country \_\_\_\_\_

Telephone Number	
Fax Number	
Web homepage	
Data Centre : *	

\*Relevant if Vendor/JSE Member or End User.

**1.2 CLIENT CONTACT INFORMATION**

**Comment [JSE2]:** This section requires the contacts for various JSE notices, for e.g. business, compliance, technical and/or billing contact.

Title	
First Name	
Surname	
Designation	
Email Address	
Fax Number	
Tel. Number (Direct)	
Cell/Mobile Number	

**Indicate which categories the contact should be selected for :**

Contract Advices/Amendments	
Cost Structures - Amendments	
Invoices	
Auditor's Report or Audit	
Monthly Returns	
System Enhancement Notification	
After Hours Contact	

Title	
First Name	
Surname	
Designation	
Email Address	
Fax Number	
Tel. Number (Direct)	
Cell/Mobile Number	

**Indicate which categories the contact should be selected for :**

Contract Advices/Amendments	
Cost Structures - Amendments	
Invoices	
Auditor's Report or Audit	
Monthly Returns	
System Enhancement Notification	
After Hours Contact	

Note: Please copy this sheet should you require to.

Title  
First Name  
Surname  
Designation  
Email Address  
Fax Number  
Tel. Number (Direct)  
Cell/Mobile Number


Indicate which categories the contact should be selected for :

Contract Advices/Amendments  
Cost Structures - Amendments  
Invoices  
Auditor's Report or Audit  
Monthly Returns  
System Enhancement Notification  
After Hours Contact


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**NOTE : If there are other categories which are not specified here, please list them and provide us with the contact details.**

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## SECTION B

### CONTRACTUAL COMPLIANCE

1. **These are the documents or information (in a form and containing information acceptable to the JSE) which the JSE will require prior to authorising access to the FTSE/ JSE Africa Index Series Information products:**

- this UAF including all supporting documentation and information
- FIDA
- Specifications on User's system technology:
  - to delay Information and
  - to control environment for live distribution
- Documents should show the following :
  - reporting controls.
  - delayed controls (time received from the JSE, to the time transmitted)
  - access controls.
- Your client base projections for 1 year.
- Detailed description of company activity, and in particular activities in respect of which use will be made of Information (enclose company presentation and annual report).

2. **This is the set of documents you will need to complete (if applicable) and adhere to, to be fully compliant with all contractual conditions for subscribing to and (if applicable) distributing FTSE/JSE Africa Index Series Information identified in FIDA.**

- this UAF including all supporting information and documentation
- FIDA
- End User Declaration Form ("ENDF")
- Credit Application

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Service Documentation i.e. all distribution guides, application manuals and/or system specifications in respect of or relating to the Service (including, without limitation, the Source). Connectivity brochures or documentation (only applicable to JSE Users)

**SECTION 1**

**SOURCE OF INFORMATION, INFORMATION, SUBSCRIPTION AND CAPACITY**

Please indicate the Information Source required and the Information subscribed to by marking the appropriate box.

1. **Source of information** ----- **Number of Connections**

		First	Back Up
<input type="checkbox"/>	InfoWiz (Live Indices Data Feed)	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	End of Day Dissemination Service	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	TALX (Subscription by Member Users only) (No distribution allowed)		
	Trader Front End	<input type="checkbox"/>	<input type="checkbox"/>
	Institutional Front End	<input type="checkbox"/>	<input type="checkbox"/>
	View ONLY Terminals	<input type="checkbox"/>	<input type="checkbox"/>

**Comment [JSE3]:** The source of information need only be completed if the JSE is the direct source for Live and/or End of Day data

**Comment [S4]:** Capacity refers to the way that you will be using the data. Depending on the uses of the data, one or more of the boxes can be ticked.

- "Vendor" refers to a company that receives the data directly from the JSE and distributes the data to external end users.  
 - "Sub-Vendor" refers to a company that receives the data via a registered vendor of the JSE and redistributes the data to external end users  
 - "Group Company" refers to a subsidiary that receives access to the data via the contracted company and is covered by the data agreement of the contracted company  
 - "In-House End User" refers to a company that will only be using the data internally

**Comment [S5]:** The "End of Day Information" must be completed by a company that will subscribe to the Indices End of Day market statistical and reference data provided by the JSE or Vendor at the end of each trading day.

**Comment [S6]:** Valuations service provides subscribers with a daily closing file containing index level data. It includes items such as the end-of-day capital return and total return index values, the index code, index market capitalisation and number of constituents. The file also contains information on the XD Adjustment (the ex dividend adjustment for the day) as well as the XD adjustment for the year to date, along with the actual dividend yield of the index.

\*In-house End users must complete the requested indices as well as the "No. Of users/devices"  
 \*Vendor/Sub-Vendor need only complete the requested indices.

**Comment [S7]:** Constituents service provides subscribers with a daily end-of-day file which contains a full list of index constituents (companies in the index). This data includes (amongst other items) the constituent name and other constituent identifiers such as the ISIN and Exchange Code, the closing price, the total market capitalisation, the investable market capitalisation (the total market cap adjusted for free float and liquidity), the number of shares in issue and the percentage weight of the constituent in the index.

\*In-house End users must complete the requested indices as well as the "No. Of users/devices"  
 \*Vendor/Sub-Vendor need only complete the requested indices.

**2. LIVE INFORMATION**

**2.1 FTSE/JSE African Index Series and JSE SRI Indices(J51)**

Capacity:

Vendor  Sub-Vendor  Group Company  In-House End User

**2.2 Indices Live Snapshots**

Capacity:

Vendor  Sub-Vendor  Group Company  In-House End User

**3. DELAYED INFORMATION**

**3.1 FTSE/JSE All Africa Index Series (J51)**

Capacity:

Vendor  Sub-Vendor  Group Company  In-House End User

**4. END OF DAY INDICES PRODUCTS**

**4.1 Valuations product**

Only applicable to direct subscribers of Valuations product via the JSE FTP server

		No. Of Devices	
Core Indices (DFV)	<input type="checkbox"/>	1	<input type="checkbox"/>
Specialist Indices (subject to subscription of Core Indices)		2-10	<input type="checkbox"/>
Capped Indices (DCV)	<input type="checkbox"/>	11-25	<input type="checkbox"/>
Shareholder Weighted Indices (DPV)	<input type="checkbox"/>	26-50	<input type="checkbox"/>
Style Indices (DYV)	<input type="checkbox"/>	51-150	<input type="checkbox"/>
Dividend Plus Index (DDC)	<input type="checkbox"/>	151-250	<input type="checkbox"/>
Equally Weighted Index	<input type="checkbox"/>	>250	<input type="checkbox"/>

Capacity:

Vendor  Sub-Vendor  Group Company  Designated End User  Print Media End User

**4.2 Constituent product**

Please note that Constituent and Tracker are bundled products

		No. Of Devices	
Core Indices (DFV)	<input type="checkbox"/>	1	<input type="checkbox"/>
Specialist Indices (subject to subscription of Core Indices)		2-10	<input type="checkbox"/>
Capped Indices (DCV)	<input type="checkbox"/>	11-25	<input type="checkbox"/>
Shareholder Weighted Indices (DPV)	<input type="checkbox"/>	26-50	<input type="checkbox"/>
Style Indices (DYV)	<input type="checkbox"/>	51-150	<input type="checkbox"/>
Dividend Plus Index (DDC)	<input type="checkbox"/>	151-250	<input type="checkbox"/>

Equally Weighted Index  >250

Capacity:

Vendor  Sub-Vendor  Group Company  Designated End User

**4.3 Tracker product**

Please note that Constituent and Tracker are bundled products

		No. Of Devices	
Core Indices (DFV)	<input type="checkbox"/>	1	<input type="checkbox"/>
Specialist Indices (subject to subscription of Core Indices)		2-10	<input type="checkbox"/>
Capped Indices (DCV)	<input type="checkbox"/>	11-25	<input type="checkbox"/>
Shareholder Weighted Indices (DPV)	<input type="checkbox"/>	26-50	<input type="checkbox"/>
Style Indices (DYV)	<input type="checkbox"/>	51-150	<input type="checkbox"/>
Dividend Plus Index (DDC)	<input type="checkbox"/>	151-250	<input type="checkbox"/>
Equally Weighted Index	<input type="checkbox"/>	>250	<input type="checkbox"/>

Capacity:

Vendor  Sub-Vendor  Group Company  Designated End User

**Comment [S8]:** Tracker service provides a daily file, produced and disseminated after market close, highlighting corporate actions and constituent changes that will be implemented that evening, effective for open the following trading day. The service is designed to give users forward notice of corporate events and index changes, allowing them to plan for and implement any changes to their own investment products in a timely fashion.

\*In-house End users must complete the requested indices as well as the "No. Of users/devices"  
\*Vendor/Sub-Vendor need only complete the requested indices.

**4.4 End of Day Indices Value Add Data Products**

Index Records (DI01, DI02, DI03, DI04, IM01, IN01, IN02, WI01, WI02, MI01, MI02)

Index Constituent Records (IC01, IC02, MC01, MC02)

Capacity:

Vendor  Sub-Vendor  Group Company  In-House End User  Print Media End User

**4.5 FTSE/JSE RAFI Indices (Top 40, All Share, Capped All Share)**

(Not subject to subscription of Core Indices)

		No. Of Devices	
Valuations	<input type="checkbox"/>	1-10	<input type="checkbox"/>
		11-25	<input type="checkbox"/>
Constituent and Tracker	<input type="checkbox"/>	26-50	<input type="checkbox"/>
		51-150	<input type="checkbox"/>
		151-250	<input type="checkbox"/>
		>250	<input type="checkbox"/>

Capacity:

Vendor  Sub-Vendor  Group Company  Designated End User  Print Media End User (Valuations only)

**4.6 FTSE/JSE Shariah Indices (Top 40, All Share)**

(Not subject to subscription of Core Indices)

		No. Of Devices	
Valuations	<input type="checkbox"/>	1-10	<input type="checkbox"/>
		11-25	<input type="checkbox"/>
Constituent and Tracker	<input type="checkbox"/>	26-50	<input type="checkbox"/>
		51-150	<input type="checkbox"/>
		151-250	<input type="checkbox"/>
		>250	<input type="checkbox"/>

Capacity:

Vendor  Sub-Vendor  Group Company  Designated End User  Print Media End User (Valuations only)

**4.7 FTSE/JSE Preference Share Index**

**(Not subject to subscription of Core Indices)**

Valuations   
Constituent and Tracker

Capacity:

Vendor  Sub-Vendor  Group Company  Designated End User  Print Media End User (Valuations only)

**4.8 FTSE/JSE All Africa Indices**

**(Not subject to subscription of Core Indices)**

		No. Of Devices	
Valuations	<input type="checkbox"/>	1-10	<input type="checkbox"/>
		11-25	<input type="checkbox"/>
Constituent and Tracker	<input type="checkbox"/>	26-50	<input type="checkbox"/>
		51-150	<input type="checkbox"/>
		151-250	<input type="checkbox"/>
		>250	<input type="checkbox"/>

Capacity:

Vendor  Sub-Vendor  Group Company  Designated End User  Print Media End User (Valuations only)

**4.9 JSE Social Responsibility Investment Index (SRI)**

**(SUBJECT TO SUBSCRIPTION OF CORE INDICES)**

		No. Of Devices	
Valuations	<input type="checkbox"/>	1-10	<input type="checkbox"/>
		11-25	<input type="checkbox"/>
Constituent and Tracker	<input type="checkbox"/>	26-50	<input type="checkbox"/>
		51-150	<input type="checkbox"/>
		151-250	<input type="checkbox"/>
		>250	<input type="checkbox"/>

Capacity:

Vendor  Sub-Vendor  Group Company  Designated End User  Print Media End User (Valuations only)

NOTE: The JSE Social Responsibility Investment Indices are not part of the FTSE/JSE All Africa Index Series

**4.10 JSE Social Responsibility Investment Shareholder Weighted Index (SRI SWIX)**

**(SUBJECT TO SUBSCRIPTION OF CORE INDICES)**

		No. Of Devices	
Valuations	<input type="checkbox"/>	1-10	<input type="checkbox"/>
		11-25	<input type="checkbox"/>
Constituent and Tracker	<input type="checkbox"/>	26-50	<input type="checkbox"/>
		51-150	<input type="checkbox"/>
		151-250	<input type="checkbox"/>
		>250	<input type="checkbox"/>

Capacity:

Vendor  Sub-Vendor  Group Company  Designated End User  Print Media End User (Valuations only)

NOTE: The JSE Social Responsibility Investment Indices are not part of the FTSE/JSE All Africa Index Series

**SECTION 2**

**USER DETAILS**

1. If the User receives the Service from a **Vendor, Sub-Vendor or Group Company Sub-vendor** please provide the following:

**Comment [JSE9]:** Any source other than the JSE must be detailed below as well as the services received from each.

1.1 Name and address of Vendor, Sub-Vendor or Group Company Sub-vendor

\_\_\_\_\_

1.2 Details of Information subscribed to : \_\_\_\_\_

2. If the User disseminates the Services to any **Group Company Sub-vendor**, please provide the following:

**Comment [JSE10]:** Any subsidiary which will also be a Group Company Sub-vendor, needs to be listed below as well as the services received from each.

2.1 Name and address of each Group Company Sub-vendor:

\_\_\_\_\_

2.2 Details of the Service disseminated to each such Group Company Sub-vendor.

\_\_\_\_\_

3. If the User disseminates the services to any Sub-vendor (other than a Group Company Sub-vendor) please provide the names of each Sub-vendor

\_\_\_\_\_

4. **Location** of the User \_\_\_\_\_

**Comment [JSE11]:** Location (Country or City)

5. User's **Financial Year End** \_\_\_\_\_

**Comment [JSE12]:** Month of Financial Year End for auditing purposes.

6. **Deposit amount** of \_\_\_\_\_

**Comment [JSE13]:** The deposit amount is calculated by the JSE and is equal to 2 months' licence fees.

**Third party guarantee** in the amount of \_\_\_\_\_

**Comment [JSE14]:** Third party guarantee in the event of Suretyship.

7. **DISASTER SITES (only applicable to subscribers to Live Information)** \_\_\_\_\_

**Comment [JSE15]:** The JSE allows terminal devices used in support of, or at a Disaster Recovery site to be deemed and non-chargeable as long as the particulars of the devices have been pre-agreed and registered in the agreement schedule.

Please list below by End User, and number of devices required to be permissioned for each disaster site :

NAME OF USER OR GROUP COMPANY SUB-VENDOR	END USER NAME	LOCATION	NO. OF DEVICES

Refer to FIDA Agreement clause 5.9 for more details.

8. DEMONSTRATION DEVICES (only applicable to subscribers to Live Information)

Will the User require demonstration Devices at the Location (i.e. the place where the Service is received) or at any other place? If so, please provide details of location, number and purpose for which such Devices will be used.

NAME OF USER OR GROUP COMPANY SUB-VENDOR	NAME OF ENTITY WHICH CONTROLS THE DEVICE	NO. OF DEVICES	PURPOSE

**Comment [JSE16]:** This section refers to any devices used in development, marketing, sales, training, help desk or testing of products that provide access to JSE data.

All demonstration devices have to meet criteria and be pre-agreed and registered in the schedule to be deemed as non chargeable.

Refer to FIDA Agreement clause 5.10 for more details.

9. Addresses

9.1 Address at which notices may be delivered to the parties in accordance with clause 21.1:

9.1.1 In the case of the User to:

physical address: \_\_\_\_\_

\_\_\_\_\_

telefax no.: \_\_\_\_\_

e-mail address: \_\_\_\_\_

and shall be marked for attention of: \_\_\_\_\_

**Comment [JSE17]:** This person needs to be the Key Contact person for all contractual queries and notices.

9.2 Address in Republic of South Africa at which documents in legal proceedings may be served in accordance with clause 21.2:

9.2.1 In the case of the user to (physical address in the Republic of South Africa – Amount in terms of clause 21.2.3.1: US\$7,000.00, \_\_\_\_\_)

**Comment [JSE18]:** All international clients must have a legal address in South Africa to serve legal documents.

If no legal address exist in South Africa, the JSE will refer you to an approved Lawyers firm.

and shall be marked for the attention of: \_\_\_\_\_

**SECTION 3**

**1. GROUP COMPANY STRUCTURE**

Please provide us with an organisational chart of your corporate group, detailing links to subsidiaries, holding companies and subsidiaries of holding companies, agents, joint ventures and any other relevant co-operation partners - this chart to indicate percentage holding in these third parties, as well as the name and addresses of these entities.

**2. GROUP COMPANIES AND THIRD PARTY AGENTS**

Please list the Group Companies to whom the Service will be disseminated for internal business activities only.

**Holding Company**

NAME AND REGISTRATION NO.	LOCATION	*NOTE

\*Note: Please tick if this entity will operate as a Group Company Sub-Vendor under FIDA.

**Subsidiaries**

NAME AND REGISTRATION NO.	LOCATION	*NOTE

\*Note: Please tick if this entity will operate as a Group Company Sub-Vendor under FIDA.

**Subsidiaries of the Holding Company**

NAME AND REGISTRATION NO.	LOCATION	*NOTE

\*Note: Please tick if this entity will operate as a Group Company Sub-Vendor under FIDA.

**List of Third Party Agents**

NAME AND REGISTRATION NO.	LOCATION AND COUNTRY IN WHICH IT WILL OPERATE (INDICATE IF THESE COUNTRIES DIFFER)

\*Note: Please tick if this entity will operate as a Group Company Sub-Vendor under FIDA.

**Comment [JSE19]:**  
The JSE allows companies to benefit from a consolidated agreement where more than one company subsidiary can be covered by the same data agreement and licences.

Only subsidiaries owned more than 50% by the group company are allowed to be covered within the data agreement. Each qualifying subsidiary to be covered must be indicated in the section.

To benefit from this facility, the JSE requires an official Group Company Structure document (typically from the Company Secretary) indicating the structure and the percentage holding of each company.

In addition, each group company subsidiary that will be involved in disseminating data to external end users must be highlighted to the JSE in the Notes section as they will be classified as a "Group Company Sub-Vendor"

**Comment [JSE20]:** The JSE allows, under certain circumstances, for the contracting company to nominate third party companies to distribute the data on its behalf under the same licences, in regions where the contracting company is not allowed to operate for political or other reasons.

Each qualifying third party must be listed here and the contracting company must provide evidence to the JSE that it cannot operate in that region.

Refer to "Third Party Agent" clauses in the FIDA Agreement for more details.

**SECTION 4**

**TECHNOLOGY**

**PART ONE**

(To be completed in respect of the User) |

Technology on /through which the Service will be displayed, disseminated or used by the User.

which is a Designated End of Day User only))

Technology on /through which the Service will be displayed, disseminated or used by the User.

**Comment [JSE21]:** The JSE requires a detailed summary of the various **technology** and/or applications used to view JSE data.

Each entity that is vending the data needs to be captured in a separate "Part" of Section 4.

This information will be used in the event of an audit as detailed in Clause 10 of the FIDA Data Agreement.

**1. Live Information**

- 1. Proprietary Application
- 2. Internet
- 3. Intranet
- 4. Pager
- 5. Television
- 6. Phone in service
- 7. Digital Data feed
- 8. WAP
- 9. Public Ticker Wallboard
- 10. Single or multiple Index ticker
- 11. Short Message System ("SMS")
- 12. Interactive Voice Recognition ("IVR")
- 13. Other technology including mobile Devices \_\_\_\_\_

**2. Delayed Information**

- 1. Proprietary Application
- 2. Internet
- 3. Intranet
- 4. Pager
- 5. Television
- 6. Phone in service
- 7. Digital Data feed
- 8. WAP
- 9. Public Ticker Wallboard
- 10. Short Message System ("SMS")
- 11. Interactive Voice Recognition ("IVR")
- 12. Webhosting
- 13. Other technology including mobile Devices \_\_\_\_\_

**3. Market Snapshots (N/A)**

- 1. Proprietary Application
- 2. Internet
- 3. Intranet
- 4. Pager
- 5. Television
- 6. Phone in service
- 7. Digital Data feed
- 8. WAP
- 9. Public Ticker Wallboard
- 10. Short Message System
- 11. Interactive Voice Recognition
- 12. Other technology including mobile Devices \_\_\_\_\_

**4. End of Day Information**

- 1. Proprietary Application
- 2. Internet
- 3. Intranet
- 4. Pager
- 5. Television
- 6. Phone in service
- 7. Digital Data feed
- 8. WAP
- 9. Public Ticker Wallboard
- 10 Short Message System
- 11. Interactive Voice Recognition
- 12. Other technology including mobile Devices \_\_\_\_\_

**5 Please provide all branded User Product names in respect of which use is made of the Service:**

**Comment [JSE22]:** List the names of each application used to provide/view/display JSE data (Live, Delayed and End of Day).

User Product Name	Technology used (e.g. Internet/WAP)	Related or linked websites, if applicable

**Internet Technology**

**Comment [JSE23]:** In the event that the user product uses Internet based applications, the JSE requires 3 generic usernames and passwords for auditing purposes as detailed in Clause 11.7 of the FIDA.

Please provide JSE User ID's for access to Internet Technology:

- 1 \_\_\_\_\_
- 2 \_\_\_\_\_
- 3 \_\_\_\_\_

**PART TWO**

**(To be completed in respect of each Group Company Sub-vendor)**

**Technology on / through which the Service will be displayed, disseminated or used by [inset name of Group CompanySub-vendor].**

**Comment [JSE24]:** The JSE requires a detailed summary of the various technology and/or applications used to view JSE data. Each Group Company Sub-vendor needs to be captured in a separate "Part" of Section 4. This will be used in the event of an audit as detailed in Clause 10.of the FIDA.

**1. Live Information**

- 1. Proprietary Application
- 2. Internet
- 3. Intranet
- 4. Pager
- 5. Television
- 6. Phone in service
- 7. Digital Data feed
- 8. WAP
- 9. Public Ticker Wallboard
- 10. Single or multiple ticker
- 11. Short Message System ("SMS")
- 12. Interactive Voice Recognition ("IVR")
- 13. Other technology including mobile Devices \_\_\_\_\_

**2. Delayed Information**

- 1. Proprietary Application
- 2. Internet
- 3. Intranet
- 4. Pager
- 5. Television
- 6. Phone in service
- 7. Digital Data feed
- 8. WAP
- 9. Public Ticker Wallboard
- 10. Short Message System ("SMS")
- 11. Interactive Voice Recognition ("IVR")
- 12. Webhosting
- 13. Other technology including mobile Devices \_\_\_\_\_

**3. Market Snapshots**

- 1. Proprietary Application
- 2. Internet
- 3. Intranet
- 4. Pager
- 5. Television
- 6. Phone in service
- 7. Digital Data feed
- 8. WAP
- 9. Public Ticker Wallboard
- 10. Short Message System
- 11. Interactive Voice Recognition
- 12. Other technology including mobile Devices \_\_\_\_\_

**4. End of Day Information**

- 1. Proprietary Application
- 2. Internet
- 3. Intranet
- 4. Pager
- 5. Television
- 6. Phone in service
- 7. Digital Data feed
- 8. WAP
- 9. Public Ticker Wallboard
- 10 Short Message System

- 11. Interactive Voice Recognition
- 12 . Other technology including mobile Devices \_\_\_\_\_

Please provide all branded User Product names in respect of which use is made of the Service by the Group Company Sub-vendor:

User Product Name	Technology used (e.g. Internet/WAP)	Related or linked websites, if applicable

**Internet Technology**

Please provide JSE User ID's for access to Internet Technology:

- 1 \_\_\_\_\_
- 2 \_\_\_\_\_
- 3 \_\_\_\_\_

**FTSE/JSE AS SOURCE OF DATA**

How will you acknowledge FTSE/JSE as a source of data for all products? **JSE AS SOURCE OF DATA**

\_\_\_\_\_

**Comment [JSE25]:** Any reference to JSE being the source of the data must adhere to the Attribution Requirements as detailed in Schedule 11 of the FIDA.

**FTSE/JSE website**

I would like to be listed by FTSE/JSE as a Vendor or Sub-Vendor on the FTSE/JSE website:

- Yes  No

**What services do you specialise in?**

- |   |   |
|---|---|
| Analytical Application <input type="checkbox"/> | Portfolio Management <input type="checkbox"/> |
| Email <input type="checkbox"/>                  | Risk Analysis <input type="checkbox"/>        |
| Graphs and charts <input type="checkbox"/>      | Share Alerts <input type="checkbox"/>         |
| Historical Data <input type="checkbox"/>        | Watchlists <input type="checkbox"/>           |
| Technical Analysis <input type="checkbox"/>     |   |

Other, please specify \_\_\_\_\_

**SECTION 5**

**SPECIFIED BUSINESS USES**

**PART ONE**

**(To be completed in respect of the User)** | \_\_\_\_\_

**1. Providing Live Information: Category B** | \_\_\_\_\_

- 1.  Feed Continuous
- 2.  Enquiry per Share
- 3.  Show Entire Market

**Comment [JSE26]:** The JSE requires a detailed summary of the various **business uses** specific to JSE data. Each Group Company Sub-vendor needs to be captured in a separate "Part" of Section 5. This will be used in the event of an audit as detailed in Clause 10. of the FIDA.

**Comment [JSE27]:** Category B: Please indicate whether the Live information will be provided by way of a Continuous Feed, i.e. Streaming or whether it will be provided on request. This will determine the relevant fees charged, i.e. Terminal Fees or Fee per Share.

**2. User Products** | \_\_\_\_\_

Please provide details of User Product (including branded product names) in respect of which use is made of each category referred to 1 above :

\_\_\_\_\_

**Comment [JSE28]:** User Products: Please indicate the user products used for Live information in Category B

**3. Provision of information to third parties** | \_\_\_\_\_

Will the Information be provided to third parties (ie Group Companies, Clients or other third parties) for the purposes of such third party performing any activities (including without limitation the hosting of a website or product development) on the User's behalf.

- Yes  No

**Comment [JSE29]:** In the event of a third party gaining access to JSE data for the purposes of such third party developing a website or application on behalf of the user, such third party need to be listed in 3.2, as they need to adhere to the terms and conditions of an Application Service Provider.

If yes, provide full details in respect of the purpose for which the Information will be used by such third parties and the technology on which such use will be made.

\_\_\_\_\_

If the information is provided to an Application Service Provider / Application Software Provider - please provide under mentioned information.

Full Name and Identity or Registration Number

Address at which information will be received

Address from which ASP operates

Telephone Number

Fax Number :

**3. Use of information**

**4.1 Provide full details of the purpose for which the FTSE/JSE Africa Index Series will be used :** \_\_\_\_\_

**Comment [JSE30]:** The JSE requires a comprehensive summary of the use of indices data to ensure that all contractual obligations are met.

**4.2 Will the User distribute the underlying information together with User Indices ?** \_\_\_\_\_

- Yes  No

**Comment [JSE31]:** Any redistribution of indices data or the underlying information to external clients or group companies must be noted.

If yes, provide full details:

\_\_\_\_\_

**4. User Indices and Derived Information**

4.1. Will the User make use of the information to **compile User Indices**?  Yes  No

**Comment [JSE32]:** Any indices created using the underlying FTSE/JSE indices must be noted.

If yes, provide full details:

\_\_\_\_\_

4.2. Are you using the Service to create any information which could be classified as **Derived Information** as defined in FIDA?  Yes  No

**Comment [JSE33]:** See clause 5.8 in the FIDA for the definition of Derived Information.

If yes, provide full details:

\_\_\_\_\_

**5. Other uses**

5.1. Will the User use the information to **create any products** (other than the products referred to in point 2 and 5 above)?  Yes  No

**Comment [JSE34]:** Any products created using JSE data for commercial gain (for example, Contracts for Differences etc.)

If yes, provide full details:

\_\_\_\_\_

5.2. Without detracting from the general information provided above, will the User use the information to **create a product which will benchmark/track a JSE instrument?**  Yes  No

**Comment [JSE35]:** Will any JSE data be used for passive benchmarking or tracking (for example, an ETF, Index etc.)

If yes, provide full details:

\_\_\_\_\_

**6. Please provide detailed description of the User's business activities**

- System Development
- System Operating
- Data Quality Assurance
- Helpdesk Service
- Other, please specify \_\_\_\_\_

7. Please provide a **detailed description of the User's business activities** particularly related to the use of the Information (and other than as specified above).

**Comment [JSE36]:** The JSE requires an overview of the company's business and a detailed summary of all business activities relating to JSE information.

\_\_\_\_\_

**PART TWO**

**(To be completed in respect of each Group Company Sub-vendor)**

**Comment [JSE37]:** The JSE requires a detailed summary of the various business uses specific to JSE data. Each Group Company Sub-vendor needs to be captured in a separate "Part" of Section 5. This will be used in the event of an audit as detailed in Clause 10. of the FIDA.

**1. Providing Live Information:**

- 1.  Continuous Feed
- 2.  Enquiry per Share
- 3.  Show Entire Market

**2. User Products**

Please provide details of User Product (including branded product names) in respect of which use is made of each category referred to 1 above :

**3. Provision of information to third parties**

Will the Information be provided to third parties (ie Group Companies, Clients or other third parties) for the purposes of such third party performing any activities (including without limitation the hosting of a website or product development) on the User's behalf.

Yes  No

If yes, provide full details in respect of the purpose for which the Information will be used by such third parties and the technology on which such use will be made.

If the information is provided to an Application Service Provider / Application Software Provider - please provide under mentioned information.

Full Name and Identity or Registration Number

Address at which information will be received

Address from which ASP operates

Telephone Number

Fax Number :

If yes provide full details in respect of the purpose for which the information will be used by such Group Company, Client or third party.

**4. Use of information**

4.1 Provide full details of the purpose for which the FTSE/JSE Africa Index Series will be used :

4.2 Will the User distribute the underlying information together with User Indices ?

Yes  No

If yes, provide full details:

---

**5. User Indices and Derived Information**

5.1 Will the User make use of the information to compile User Indices ?

Yes  No

If yes, provide full details:

---

5.2 Will the Group company Sub-vendor be using the Service to create any information which could be classified as Derived Information as defined in FIDA?

Yes  No

If yes, provide full details:

---

**6. Other uses**

6.1 Will the User use the information to create any products (other than the products referred to in point 2 and 5 above)?

Yes  No

If yes, provide full details:

---

6.2 Without detracting from the general information provided above, will the User use the information to create a product which will benchmark/track the FTSE/JSE Africa Index Series .

Yes  No

If yes, provide full details:

---

6.3 Please provide details of the User's internal use of the Information :

- System Development
- System Operating
- Data Quality Assurance
- Helpdesk Service
- Other, please specify \_\_\_\_\_

7. Please provide a detailed description of the User's business activities particularly related to the use of the Information (and other than as specified above).

---

**SECTION 6**

**CREDIT APPLICATION**

1. Type of business :  Company  Partnership  
 Sole Proprietor  Close Corporation  
 Other, please Specify: \_\_\_\_\_

2. Nature of business : \_\_\_\_\_

3. VAT No. : \_\_\_\_\_  
 (Where applicable)

4. Period in business : \_\_\_\_\_

5. Monthly Credit required : \_\_\_\_\_

6. Bankers : \_\_\_\_\_ 7. Branch : \_\_\_\_\_

8. Account No. : \_\_\_\_\_ 9. Auditors : \_\_\_\_\_

10. Tel. : \_\_\_\_\_ 11. Creditors Contact Name : \_\_\_\_\_

12. Services you wish to utilise : \_\_\_\_\_

13. Name and Address of parent/holding Company : \_\_\_\_\_

14. Names and Addresses of Proprietor/Partners/Directors/Managing Members:

Name :	Residential Address :	
	Tel. No.:	
I.D. No.:	Nationality :	Date of Birth :
Name :	Residential Address :	
I.D. No.:	Nationality :	Date of Birth :
Name :	Residential Address :	
I.D. No.:	Nationality :	Date of Birth :

Trade References: (At least three)

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**SECTION 7:**

**WARRANTY AND SIGNATURE**

We hereby warrant that the information contained in this User Application Form is true and correct in all respects as at the date of its signature.

For the User, duly authorised \_\_\_\_\_ Print full name and designation of signatory \_\_\_\_\_

Date : \_\_\_\_\_

**Comment [JSE38]:** Name of User, i.e. name on agreement.

**Comment [JSE39]:** The name of the key contact responsible for the agreements.