

SERVICE HOTLINE

REFERENCE NUMBER: 165/2020

10 July 2020

AMENDMENTS TO THE STOCK EXCHANGE NEWS SERVICE (SENS) ANNOUNCEMENT TYPES AND SUBTYPES FOR JSE AND NSX SERVICES

Clients are advised that with the introduction of the amendments to the Debt Listing Requirements, additional **Announcement types and subtypes**, will be implemented in the **Stock Exchange News Service (SENS)**. The changes will come into effect in the **production** environment on **Monday, 10 August 2020**. The changes will be applicable to both the **JSE SENS and Namibian Stock Exchange News Service (NENS)**.

These announcement types and subtypes changes will be visible via the **Live real-time Regulatory News Gateway** and will also be included in the **SENS End-of-Day products**. There is **no change to the Live real-time or EOD message/record formats and fields**, these are **additional values in existing fields** on announcements.

High Level Summary of Changes

New Announcement Types and SubTypes introduced as follows:

| New Announcement Type | Description of Changes |
|---------------------------|---|
| Credit Rating | With 2 new Announcement SubTypes: <ul style="list-style-type: none"> Change In Credit Rating Change in Credit Rating Agency |
| Index and Index Providers | With 2 new Announcement SubTypes: <ul style="list-style-type: none"> Changes to Index Constituents Changes to Index Methodology |
| Section 60 Approval | With 2 new Announcement SubTypes: <ul style="list-style-type: none"> Notice Results |

Amendments to existing Announcement Types as follows:

| Announcement Type | Description of Changes |
|--|--|
| Debt Instrument | 10 new Announcement SubTypes |
| General meetings | 1 new Announcement SubType |
| Statements | 8 new Announcement SubTypes |
| Sponsor/DA/Debt Sponsor /Auditor /Debt Officer | Appended "Debt Officer" to Announcement Type 1 new Announcement SubType |

NOTE: An **updated version** of the [SENS NewsML Knowledge Item](#) (xml file) has been published which **includes the new announcement types and subtypes**. **See overleaf** for more detailed descriptions of all announcement types and subtypes added to the xml file.

Test announcements with the new announcement types and subtypes will be publish via the **Regulatory News Gateways** in the **Customer Test Service (CTS) 1A and 1B environments** from **Monday, 13 July 2020**.

Please see overleaf for the **Services Documentation updates** published as well as the **detailed description of all changes** being introduced.

Service:

- Live SENS Market Data service
- End-of-Day SENS market Data Service

Environment(s):

Customer Test Service (CTS)
Production (PROD)

Additional Information:

If you have any queries about this announcement, please contact the Client Service Centre on customersupport@jse.co.za

Issued By:

Sandra Borrageiro
Issuer Regulation

New SENS Announcement Types and SubTypes

| Announcement Type | Description | |
|---------------------------|---|---|
| Credit Rating | Rating provided by a Credit rating agency on a company or specific instrument | |
| | Announcement SubTypes | Description |
| | Change in Credit Rating | Change in the rating provided by a Credit rating agency |
| | Change in Credit Rating Agency | Change in the Credit Rating agency contracted by the issuer |
| Index and index Providers | The providers of an index. Index being the measurement of the performance of a basket of securities | |
| | Announcement SubTypes | Description |
| | Changes to Index Constituents | Changes to the underlyings in the index basket |
| | Changes to Index Methodology | The methodology for calculating the measurement of the performance of a basket of securities has been changed |
| Section 60 Approval | Shareholder approval in terms of Section 60 of the Companies Act | |
| | Announcement SubTypes | Description |
| | Notice | Notification by the issuer that a written resolution will be issued for consideration by instrument holders in terms of section 60 of the Companies Act |
| | Results | The Issuer announce the result of the written resolution |

Amendments to Existing SENS Announcement Types

| Announcement Type | Description | |
|-------------------|---|--|
| Debt Instrument | | |
| | New Announcement SubTypes | Description |
| | Change in Credit Rating Agency | Change in the Credit Rating agency contracted by the issuer |
| | Amendment to Instrument | The details of the Instrument will be changed |
| | Automatic redemption | The instrument is automatically been redeemed |
| | Conversion | The instrument is converted into a different instrument |
| | Credit event | An event that might result in changes to the credit link note |
| | Event of Default | An event that might result in the issuer default on the instrument |
| | Extension of Maturity date | The date on which the instrument matures has been extended |
| | Failure to make distribution | The Issuer failed to pay the distribution on the instrument |
| | Financial covenants | Financial covenant by the Issuer |
| | Repurchase of instruments | Instruments are repurchased by the issuer |
| | | |
| General Meetings | | |
| | New Announcement SubType | Description |
| | Demand to call a meeting | The Issuer received a demand from instrument holders to call a meeting |
| | | |
| Statements | | |
| | New Announcement SubTypes | Description |
| | Amendments to Policies | Changes to the Issuer's internal policies |
| | BBEEE - Annual compliance certificate | Issuer announce that the BBEEE compliance certificate is now available |
| | Change in Trustee | The Issuer has changed the trustee |
| | Change in Year end | The Issuer's year end has changed |
| | Late publication of Annual Compliance Certificate | The Issuer's Annual compliance certificate not submitted on time in terms of the listings requirements |
| | Quarterly report | Three monthly report issued by the issuer |
| | Update to Information Incorporated by Reference | Information incorporated by reference in the programme is now updated |

| | | |
|---|---|--|
| | Update to Placing Document | The placing document has been updated by the issuer |
| Sponsor/DA/Debt Sponsor/Auditor/Debt Officer | | |
| | | Debt officer is a person appointed by the Debt or Interest Rate Issuer in terms of the Debt Listings Requirements of the JSE |
| | New Announcement SubType | Description |
| | Change to Debt Officer and/or the Contact Details of the Debt Officer | The Issuer has changed the Debt Officer or the contact details of the debt officer has changed |

SENS Services Documentation updates

These following documents are available for download at the following link:

[SENS Services Documentation](#)

| Services Documentation | Status | Description of Changes |
|--|------------------|--|
| JSE SENS Real Time Overview | Updated | A guidance note for JSE and NSX users writing solutions to receive and process the JSE SENS real time messages. Document updated for minor corrections and amendments to hyperlinks and formatting. |
| JSE SENS Real Time NewsML Specifications | Updated | A guidance note for software developers to understand the JSE NewsML specification as implemented within the JSE Stock Exchange News Service (SENS). Document updated for minor corrections and amendments to hyperlinks and formatting. |
| SENS XML Files | | |
| SENS NewsML catalog | No Change | Provides a list of scheme alias and their respective URLs used in the NewsML messages. |
| SENS NewsML knowledge item | Updated | Provides the definition of JSE defined elements used in the NewsML. XML file updated to include the amendments to the announcement types and subtypes |
| SENS NewsML extensions | No Change | Schema file provides the specifications for SENS extensions to the NewsML schema |
| SENS End-Of-Day Product Specification | | |
| SENS End Of Day market Data Product Specifications | Updated | A guidance note for End-Of-Day SENS product subscribers and developers to understand the JSE NewsML specification as implemented within the JSE Stock Exchange News Service (SENS). Document updated for minor corrections and amendments to hyperlinks and formatting. |

Please refer all queries relating to these documents via email to customersupport@jse.co.za