##### JSE Software Vendor Accreditation Form

Please complete this form in full, and return it to [JSESWP@jse.co.za](mailto:JSESWP@jse.co.za) via email or to the following address:

**JSE Limited**

**For Attention: Capital Markets Division**

**Private Bag X991174, Sandton, 2146, South Africa**

If you have any queries regarding this form, please contact JSE on **+27 11 520 7777** or [**CustomerSupport@jse.co.za**](mailto:CustomerSupport@jse.co.za)

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **1. Company Details** | | | | | | | | |
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|  | **Company Name**   |  | | --- | |  | | | | | | | | |
|  |  | | | | | | | |
|  | **Physical Address**   |  | | --- | |  | | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Postal Code: | | | | | | | | |
|  |  | | | | | | | |
|  | **Postal Address**   |  | | --- | |  | | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Postal Code: | | | | | | | | |
|  |  | | | | | | | |
|  | **Web site URL**   |  | | --- | |  | | | | | | | | |
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| **2. Contact Person** | | | | | | | | |
|  |  | | | | | | | |
|  | **Title**   |  | | --- | |  | | | | | | | | |
|  |  | | | | | | | |
|  | **First Name**   |  | | --- | |  | | | | | | | | |
|  |  | | | | | | | |
|  | **Surname**   |  | | --- | |  | | | | | | | | |
|  |  | | | | | | | |
|  | **Telephone Numbers**   |  | | --- | | \_Mobile: | | \_Work: | | \_Other: | | | | | | | | |
|  |  | | | | | | | |
|  | **Email Address (including group emails for JSE communication groups)**   |  | | --- | |  | | | | | | | | |
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| **3. Software Details** | | | | | | | | |
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|  | **Name of Software**   |  | | --- | |  | | | | | | | | |
|  |  | | | | | | | |
|  | **Version**   |  | | --- | |  |   **Brief Description of Software functionality offered:**   |  | | --- | |  | | | | | | | | |
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|  | **Was the previous version of the software accredited?** | **YES**   |  | | --- | |  | | | | **NO**   |  | | --- | |  | | | | |
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|  | **If yes, what date was it accredited?**   |  | | --- | |  | | | | | | | | |
|  |  | | | | | | | |
|  | **Has this version of the software passed conformance testing?** | **YES**   |  | | --- | |  | | | | **NO**   |  | | --- | |  | | | | |
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|  | **If yes, Please provide the date:** | **Date**   |  | | --- | |  | | | | | | |  | | --- | |  | | |
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|  | **Is this software used for Trading, Information Dissemination, or both?** | **Trading**   |  | | --- | |  | | | | **Market Data**   |  | | --- | |  | | | | **Both**   |  | | --- | |  | |
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| **4. Software Support** | | | | | | | | |
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|  | **Do you have a help desk for your clients?** | **YES**   |  | | --- | |  | | | | **NO**   |  | | --- | |  | | | | |
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|  | **If yes, what are the help desk contact details:**   |  | | --- | | \_Mobile: | | \_Office: | | \_EMail: | | | | | | | | |
|  |  | | | | | | | |
|  | **During what hours does the help desk operate? (specify Time zone)**   |  | | --- | | \_From: \_ To: | | | | | | | | |
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|  | **Please detail the training provided to clients (if any):**   |  | | --- | |  | |  | |  | |  | | | | | | | | |
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|  | **Do you conduct user forums with your clients?** | **YES**   |  | | --- | |  | | | | **NO**   |  | | --- | |  | | | | |
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|  | **If yes, please provide details:**   |  | | --- | |  | |  | |  | |  | | | | | | | | |
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| **5. Terms and Conditions** | | | | | | | | |
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|  | **By signing this application form, you agree to comply with the JSE terms and conditions for Accredited Software Vendors.** | | | | | | | |
|  |  | | | | | | | |
|  | **Name**   |  | | --- | |  | | | | | | | | |
|  |  | | | | | | | |
|  | **Position in Company and details of authority to bind the Company**   |  | | --- | |  | | | | | | | | |
|  |  | | | | | | | |
|  | **Signature**   |  | | --- | |  | | \_Date: | | | | | | | | |
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|  | **For Internal Use Only:** | | | | | | | |
|  |  | | | | | | | |
|  | **Reviewed by:**   |  | | --- | |  | | | | | | **Date:**   |  | | --- | |  | | | |
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|  | **Has the software passed accreditation?** | | **YES**   |  | | --- | |  | | **NO**   |  | | --- | |  | | | | | |
|  |  | | | | | | | |
|  | **Date of Accreditation:**   |  | | --- | |  | | | | | | | | |
|  |  | | | | | | | |
|  | **Comments:**   |  | | --- | |  | |  | |  | |  | |  | |  | | | | | | | | |
|  |  | | | | | | | |
|  | **Signature**   |  | | --- | |  | | \_Date: | | | | | | | | |
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| **Terms and Conditions:**  The Applicant :   * Acknowledges that in accrediting any version of the Applicant’s software, the JSE is in no way endorsing or warranting such software and is only confirming that the version of the software has passed the relevant conformance tests and that the Applicant provides certain minimum services to its customers in relation to such software, which services the JSE also does not endorse or warrant. * Must ensure that all its customers understand and agree to the above disclaimer in favour of the JSE. * Must participate in relevant JSE Technical forums. * Must ensure that each accredited version of the accredited software functions in accordance with the JSE Services Documentation from time to time. * Must demonstrate to the JSE from time to time that it has used its best endeavours to ensure that no viruses or errors are present in the accredited software. * Must use the accredited software in compliance with the applicable South African laws and JSE rules from time to time. * Must provide its customers with a Help Desk. * Must undertake training, installation and follow up with all customers / End-Users. * Agrees to employ employees and sub-contractors who are competent and experienced enough to be able to carry out maintenance of the accredited software so as to ensure continuous access by traders to the JSE trading environment(s). * Must provide the JSE with information and answers to queries concerning the accredited software. * Must complete all administrative formalities necessary for the performance of its obligations as an Accredited Vendor. * Must ensure that all versions of the software which it wishes to have accredited are subjected to Conformance Testing in accordance with the requirements of the JSE from time to time, which testing will be conducted by the JSE in South Africa. \* * Must allow the JSE or the JSE’s agents access to the Accredited Vendor’s site/s, where necessary, for joint problem resolution or conformance testing. * Acknowledges that accreditation is not transferable either in whole or in part to a third party without the prior written consent of the JSE. * Acknowledges that the JSE has the right to audit the accredited software and to require any version of such software to be re-conformed if the JSE believes that changes have been made to the software that would result in a re-pass of the Conformance Testing being required.   \*Note:  The objective of Conformance Testing is to ensure that a reasonable level of satisfaction is obtained by the JSE and the Applicant that the software to be accredited performs without error and in conformity with the specifications as set out in the JSE User Specification from time to time   * + During conformance testing, the JSE will execute the standardized, JSE-specific test scripts, on the software to be accredited.   + Once the JSE is satisfied that the Conformance Test criteria in respect of a conformance test have been met, the JSE will declare such test successful. However passing a Conformance Test will not disallow the JSE from re-testing the relevant functionality at a later stage if the JSE deems this to be necessary.   + A Conformance test or test phase will fail if any agreed criteria are not met or if the observed deficiencies are not acceptable to the JSE. In such case, the JSE will notify the Applicant of the relevant errors and non-conformity, and the Applicant must promptly and continuously take such action as is necessary to correct any errors and must further ensure that sufficient resources are available to assist in error correction.   + If the deviation from the relevant test script results from the failure of any software or part of the software then being tested, but the failure response is acceptable to the JSE, then the JSE may in its discretion, issue a provisional conformance certificate or declare the conformance test to have been successfully completed. |