Form A1

**Application to be a debt sponsor**

The criteria to be complied with by the applicant for approval as a debt sponsor by the JSE are listed in the debt sponsor’s application and declaration below. The information is required to satisfy the JSE that the applicant is competent to fulfil the role of debt sponsor:

Details of the sponsor application form to be submitted by the applying debt sponsor to the JSE are set out below:

1. Name of applicant

2. Trading name (if different)

Tel: Fax:

Website:

e-mail:

Address:

3. Nature of entity (private company, public company, unlimited company, partnership, sole trader)

4. Name of contact person and contact details

5. Is the applicant a member of any self-regulating organisation or recognised professional body (specify)?

6. Which debt related financial service does the applicant intend offering?

7. Describe debt market experience of the applicant and its executive staff in the last three years (provide a suitably detailed table)

8. Names and other details of executive staff that will be involved in debt sponsor activities

9. What procedures and controls are in place to ensure that personnel do not act outside their authority?

10. Please state any other information that you may think is relevant to your application

11. With respect to your approved executives, have any of them ever been:

(a) convicted of an offence resulting from dishonesty, fraud or embezzlement? If yes, provide details:

(b) censured or fined by a self regulatory organisation, or recognised professional body? If yes, provide details:

(c) barred from entry into any profession or occupation?

(d) convicted in any jurisdiction of any criminal offence, or an offence under legislation relating to the Companies Act, or was a director or alternate director or officer of a company at the time such company was convicted of any similar offence? All such convictions must be disclosed even though they may now be “spent convictions”.

12. Applicant’s undertaking to the JSE:

We hereby apply for approval as a debt sponsor as defined in the Debt Listings Requirements. Should such application be successful we undertake to:

(i) be bound by and discharge our responsibilities as a sponsor under the Debt Listings Requirements as amended from time to time;

(ii) advise the JSE, in writing, without delay, of our resignation or dismissal from a Sponsor appointment, giving details of any relevant facts or circumstances;

(iii) acknowledge that the JSE may censure us if the JSE considers that we are in breach of our responsibilities, and that the JSE may publicise the fact that it has done so; and

(iv) apply the spirit of the Debt Listings Requirements and uphold the integrity of JSE.

We declare that the information supplied is complete and correct, and we agree to comply with the additional notification requirements.

We have read the eligibility criteria for a debt sponsor and believe that this application conforms to the criteria (except as specifically notified to you with this application).

Signature   Signature

Name of signatory   Name of signatory

Position   Position

Date   Date