FORM E2

Consideration for the JSE list of disqualified auditors (Individual auditor)

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| Notes:1. All information must be emailed to auditoraccreditation@jse.co.za
2. Incomplete submissions will be rejected and the review process will only commence once all the necessary information has been provided
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|  |

Name:

IRBA registration number:

Tel. no :

Email:

I hereby confirm that I:

(1) (a) have not been subject to any findings arising from any investigation process undertaken by the

 JSE due to the contravention of the Listings Requirements;

OR

 (b) have been subject to matter/s in 1(a)

 *[please provide all relevant details and supporting documentation]*;

(2) (a) have not been subject to any of the following matters;

1. contravened, or failed to adhere to, its responsibilities set out in section 22 of the JSE Listings Requirements;
2. been found guilty or agreed to a consent order in terms of any legal, regulatory review or disciplinary finding arising from any processes instituted by any professional body of which I am a member or another regulator to which I am accountable;
3. been found guilty of and/or paid a fine and/or was sanctioned in any manner for a breach of the FMA;[[1]](#footnote-1)
4. been found guilty and convicted, whether in South Africa or elsewhere, of theft, fraud, forgery, uttering a forged document, perjury, an offence under the Prevention and Combating of Corrupt Activities Act (No. 12 of 2004), or any offence involving dishonesty; or
5. had any legal or disciplinary findings ,including a consent order, payment of a fine or sanction, made against them in terms of any other legislation.

OR

 (b) have been subject to the matters in 2(a) above as follows:

 *[please provide all relevant details and supporting documentation];*

(3) (a) do not believe that there are any other facts or circumstances that I need to bring to the attention of the JSE for it to consider in terms of paragraph 22.4(f) of the JSE Listings Requirements ;

OR

(b) would like to bring the following additional matters referred to in (3)(a) to the attention of the JSE

 *[please provide all relevant details and supporting documentation];*

(4) *[If (1)(a), (2)(a)and (3(a) is applicable this point can be deleted]*

 believe that I should not be included in the JSE list of disqualified auditors despite the existence of the matters referred to in (1)(b), (2)(b) and/or (3)(b) above, for the following reasons:

 *[please provide all relevant details and supporting documentation];*

Signature:

Name:

Date:

1. [↑](#footnote-ref-1)