**FORM E4**

**Reporting accountant specialist initial application and annual declaration form**

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| Notes:   1. All information must be emailed to [auditoraccreditation@jse.co.za](mailto:auditoraccreditation@jse.co.za) 2. Incomplete submissions will be rejected and the review process will only commence once all the necessary information has been provided. 3. As it relates to the list of all the work performed within the last 12 months, please ensure that this declaration highlights the 12-month period you have used. The end date should coincide with the date this declaration is signed. For annual declaration purposes, the last 12 months should cover the period 1 June–31 May. |
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GENERAL INFORMATION

Name of individual:

Audit firm:

IRBA number with regulator:

*(Please include copy of IRBA letter confirming registration)*

Registration/membership number with any regulator/ professional body: …………………….

Tel. no (landline and cellphone):

Email:

Physical Address:

Postal Address:

DECLARATIONS

I hereby declare that I [*insert name of individual*]:

PART A: SPECIFIC TO THE REPORTING ACCOUNTANT SPECIALIST ROLE

(1) have the following experience in performing or reviewing report accountant’s reports over the past 12 months from *[include dates of the 12 month period]*

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| --- | --- | --- |
| Date report signed: | Name of Issuer | Transaction |
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(2) completed JSE accredited Listings Requirements training on *[insert date]* with *[insert name of person providing the training]* and passed the examination on *[insert date]*

*[Please attach copies of your certificates confirming course attendance and successful passing of the examination]*

PART B: GENERAL DECLARATION

1. meet all the eligibility criteria applicable to an audit firm and/or individual and have provided the necessary supporting documentation set out in section 22;
2. am in good standing with my regulatory and/or professional body;
3. have not:

• at any time been removed from an office of trust because of misconduct related to a discharge of that office;

• been convicted, whether in the Republic of South Africa or elsewhere, of theft, fraud, forgery, uttering a forged document, perjury, or any offence involving dishonesty;

• been found guilty of an offence under the FMA;

• been declared by a competent court to be of unsound mind or unable to manage their own affairs; or

• been provisionally sequestrated, entered into a compromise with creditors or been classified as an unrehabilitated insolvent.

*[If a negative statement cannot be made, details must be provided*] \*;

* + have adequate experience, competency and capabilities in performing their work;
  + am familiar with the specific audit and financial reporting requirements applicable to applicant issuers and for my specific function; and
  + have kept up to date in all relevant areas of technical training in order for me to maintain competence in performing my work;

(5) confirm that I have not been party to any of the matters set out in paragraphs 22.15(f), and 22.21 or, if so, to provide details to the JSE thereof\* and also undertake to notified the JSE, within the specified timeframes, of any of the matters set out in paragraphs 22.15(f) and 22.21 that arise in the future;

1. am aware of and have considered the IFRS matters identified by the JSE proactive monitoring process and/or the FRIP, as set out on the JSE and SAICA websites;
2. am aware of and have considered IRBA and/or SAICA guidance applicable to reporting accountants and auditors of applicant issuers;
3. agree to discharge their responsibilities in terms of this section 22 and to thus assist the JSE in upholding the integrity of the markets operated by the JSE;
4. will not intentionally or recklessly bring the integrity of the markets operated by the JSE into disrepute; and
5. give the JSE permission to obtain and share information and consult with professional bodies to whom it belongs and regulators to whom it is accountable, in matters that are of relevance to the JSE.[[1]](#footnote-1)

Signature:

Name:

Date:

\* if any of these matters exist, the individual is invited to provide a detailed motivation as to why, despite the presence of such matters, they believe that the JSE should accredit them.

1. [↑](#footnote-ref-1)