

The JSE Limited

JSE Listings Requirements & JSE Debt Listings Requirements

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Dear Subscriber

JSE Limited – JSE Listings Requirements & JSE Debt Listings Requirements

The JSE amended the JSE Listings Requirements and JSE Debt Listings Requirements dealing with the appropriation of penalties pursuant to Section 1.

The Financial Sector Conduct Authority published the approval of the amendments to the JSE Listings Requirements and JSE Debt Listings Requirements in the *Government Gazette* through Board Notice 298 of 2022 No. 46554, with the effective date as 11 July 2022.

Debt Listings Requirements

Section 1 – Authority of the JSE

Censure and penalties

- 1.24 The whole or any part of the fines issued in terms of paragraph 1.20 will be appropriated as follows:
- (a) External costs incurred by the JSE at its specific instance and request, in enforcing the provisions of the Debt Listings Requirements, including but not limited to, attorney fees, senior counsel fees, forensic investigation fees and any fees that relate (direct or indirectly) to any investigative services or in support of any investigation initiated by the JSE;
 - (b) External costs incurred by the JSE to create an observance and awareness as to the interpretation and application of the Debt Listings Requirements in furtherance of the general principles of the Debt Listings Requirements and the objects of the FMA; and
 - (c) Project costs initiated by the JSE, which are directly associated with the Debt Listings Requirements and falls within the sphere of research and/or analysis in financial markets regulation.

Listings Requirements

Section 1 – Authority of the JSE

Censure and penalties

- 1.25 The whole or any part of the fines issued in terms of paragraph 1.21 will be appropriated as follows:
- (a) External costs incurred by the JSE at its specific instance and request, in enforcing the provisions of the Listings Requirements, including but not limited to, attorney fees, senior counsel fees, forensic investigation fees and any fees that relate (direct or indirectly) to any investigative services or in support of any investigation initiated by the JSE;

- (b) External costs incurred by the JSE to create an observance and awareness as to the interpretation and application of the Listings Requirements in furtherance of the general principles of the Listings Requirements and the objects of the FMA; and
- (c) Project costs initiated by the JSE, which are directly associated with the Listings Requirements and falls within the sphere of research and/or analysis in financial markets regulation.

Regards,

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